November 14,

PTO/SB/21 (09-04)

Under the Paperwork Reduction Act of 1995, no	U.S. Patent an	Approved for use through 07/31/2006. OMB 0651-0031 id Trademark Office; U.S. DEPARTMENT OF COMMERCE f information unless it displays a valid OMB control number.				
Officer the Faberwork Reduction Act of 1993, 110	Application Number	9/899,874				
TRANSMITTAL	Citie - Dete	11y 5, 2001				
FORM	E	ohsen ShahinpoorRECEIVED				
		729				
(to be used for all correspondence after initial filin		ai V. Nguyen NOV 18 2005				
Total Number of Pages in This Submission	Attorney Docket Number 23	OFFICE OF PETITION				
	ENCLOSURES (Check all that ap					
Fee Transmittal Form X Fee Attached Amendment/Reply After Final	Drawing(s) Licensing-related Papers Petition Petition to Convert to a Provisional Application	After Allowance Communication to TC Appeal Communication to Board of Appeals and Interferences Appeal Communication to TC (Appeal Notice, Brief, Reply Brief) Proprietary Information				
Affidavits/declaration(s) Extension of Time Request Express Abandonment Request Information Disclosure Statement	Power of Attorney, Revocation Change of Correspondence Address Terminal Disclaimer Request for Refund CD, Number of CD(s)	Status Letter Other Enclosure(s) (please Identify below):				
Document(s)	Landscape Table on CD Remarks					
Reply to Missing Parts under 37 CFR 1.52 or 1.53	Patent abandonment ui	of an application for navoidably under 37 CFR documentation for same; 0; and postcard.				
SIGNATU	JRE OF APPLICANT, ATTORNEY	, OR AGENT RECEIVED				
Firm Name DENNIS F. Al Signature	KM)JO, P.C.	DEC 1 2 2005				
Printed name Dennis F. Af	rmijo					
Date November 14	Reg No.	34,116				
CERTIFICATE OF TRANSMISSION/MAILING						
I hereby certify that this correspondence is bein sufficient postage as first class mail in an envelopment of the date shown below: Signature	ng facsimile transmitted to the USPTO or dep ope addressed to: Commissioner for Patent	posited with the United States Postal Service with s, P.O. Box 1450, Alexandria, VA 22313-1450 on				

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450, DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Dennis F. Armijo

Typed or printed name

NOV 1 6 2005

PTO/SB/61 (07-05) Approved for use through 07/31/2006. OMB 0651-0031 U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

n Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

PETITION FOR REVIVAL OF AN APPLICATION FOR PATENT **ABANDONED UNAVOIDABLY UNDER 37 CFR 1.137(a)**

2313-00

Docket Number (Optional)

First Named Inventor: Mohsen Shahinpoor

Art Unit: 3729

Application Number:

09/899,874

Examiner: Tai Van Nguyen

Filed: 7/5/01

Title: SOLID-STATE POLYMERIC SENSORS, TRANSDUCERS, AND

ACTUATORS

Attention: Office of Petitions

Mail Stop Petition

Commissioner for Patents

P.O. Box 1450

Alexandria, VA 22313-1450

NOTE: If information or assistance is needed in completing this form, please contact Petitions Information at (571) 272-3282.

The above-identified application became abandoned for failure to file a timely and proper reply to a notice or action by the United Sates Patent and Trademark Office. The date of abandonment is the day after the expiration date of the period set for reply in the Office notice or action plus any extensions of time actually obtained.

APPLICANT HEREBY PETITIONS FOR REVIVAL OF THIS APPLICATION.

NOTE: A grantable petition requires the following items:

- Petition fee.
- Reply and/or issue fee. (2)
- Terminal disclaimer with disclaimer fee-required for all utility and plant applications filed before June 8, 1995, and for all design applications; and

	(4) Adequate showing of the cause of unavoldable delay.		
1.	Petition fee		
	Small entity – fee \$ 250.00 (37 CFR 1.17(I)). Applicant claims small entity sta	RECEIV	'ED
	Other than small entity – fee \$ (37 CFR 1.17(I)).	NOV 18	2005
2.	Reply and/or fee	OFFICE OF DE	TITIDN
	A The reply and/or fee to the above-noted Office action in the form of	OFFICE OF PE	1111014
	Please see attached documentation. (identify the type of reply):		ŀ
	has been filed previously on April 28, 2005		
	is enclosed herewith.		
	B The issue fee of \$ 1,030.00		
	has been filed previously on April 28, 2005		

[Page 1 of 3]

This collection of information is required by 37 CFR 1.137(a). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 8 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Mail Stop Petition, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.

is enclosed herewith.

PTO/SB/61 (07-05)
Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

PETITION FOR REVIVAL OF AN APPLICATION FO UNAVOIDABLY UNDER 37 CFR	
Terminal disclaimer with disclaimer fee	
Since this utility/plant application was filed on or after Jur	ne 8, 1995, no terminal disclaimer is required.
A terminal disclaimer (and disclaimer fee (37 CFR 1.20(c) \$ for other than a small entity) disclain herewith (see PTO/SB/63).	i)) of \$ for a small entity o ming the required period of time is enclosed
4. An adequate showing of the cause of the delay, and that the entire dela for the reply until the filing of a grantable petition under 37 CFR 1.137(a	
WARNING: Information on this form may become public. Cred be included on this form. Provide credit card information and	it card information should not authorization on PTO-2038.
\sim $\mathcal{L}()$	
I Am T Clas	11-14-05
Signature	Date
Dennis F. Armijo	34,116
Typed or printed name	Registration Number, if applicable
6300 Montano Rd., NW, Suite D	505-899-0269
Address	Telephone Number
Albuquerque, New Mexico 87120	<u></u>
Address	RECEIVED
Enclosure Fee Payment	REOLIVED
Reply	NOV 1 8 2005
Terminal Disclaimer Form	OFFICE OF PETITIONS
Additional sheets containing statements establishing una Please see attached documentation	
CERTIFICATE OF MAILING OR TRANSMISSION	ON (37 CFR 1.8(a))
I hereby certify that this correspondence is being: deposited with the United States Postal Service on the date sho class mail in an envelope addressed to Mail Stop Petition, Cor Alexandria, VA 22313-1450.	own below with sufficient postage as first
transmitted by facsimile on the date shown below to the United (571) 272-8300. Date	States Patent and Trademark Office at

Typed or printed name of person signing certificate

Dennis F. Armijo

PTO/SB/61 (07-05)
Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE
Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

PETITION FOR REVIVAL OF AN APPLICATION FOR PATENT ABANDONED

UNAVOIDABLY UNDER 37 CF	FR 1.137(a)					
NOTE: The following showing of the cause of unavoidable delay must be signed by all applicants or by any other party who is presenting statements concerning the cause of delay.						
In Flance	11-14-05					
Signature	Date					
Dennis F. Armijo	34,116					
Typed or printed name	Registration Number, if applicable					
(In the space provided below, please explain <u>in detail</u> the reaso	ons for the delay in filing a proper reply.)					
The facts supporting the applicant's petition are documents which comprise the applicant's petitically of the errors in the written documents were made to the bad advice by the examiner was provided applicant relied on the examiner's advice. (Pleadocumentation).	on filed under 37 CFR 1.181. nade by the patent office. solely by the examiner. The					
(Please attach additional sheets if addition	al snace is needed)					



Stamp hereon acknowledges receipt of the following in the United States Patent and Trademark Office mailed via Express Mail Label EI179672140USon 4/28/05:

PETITION TO WITHDRAW HOLDING OF ABANDONMENT (W/ EXHIBITS & DECLARATIONS); ISSUE FEE TRANSMITTALS; FEE ADDRESS INDICATION FORM; CHECK IN THE AMOUNT OF \$1030.00; AND POSTCARD

Applicants: Mohsen Shahinpoor/Kwang J. Kim

Serial No: 09/899,874 Filing Date: July 5, 200

For: METHOD OF FABRICATING A DRY ELECTRO-

ACTIVE POLYMERIC SYNTHETIC MUSCLE

STAMP HERE:

APR 2 8 2005

RECEIVED

NOV 1 8 2005

OFFICE OF PETITIONS

DENNIS F. ARMIJO, ESQ.

DENNIS F. ARMIJO, P.C.
6300 MONTAÑO RD., NW, SUITE D
ALBUQUERQUE, NM 87120
(505) 899-0269

WELLS FARGO BANK ALBUQUERQUE, NM 95-219/1070 8100

4/28/2005

OBDED OF

Commissioner of Patents and Trademarks

**1,030.00

One Thousand Thirty and 00/100*

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Commissioner of Patents and Trademarks Washington, D.C. 20231

MEMO

Mo/Solid State/Ser. #09/899,874/Issue Fee

#008 100# #110 700 219 2# 1060 4E 21 76#

AUTHORIZED SIGNATURE



PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Mohsen Shahinpoor

& Kwang J. Kim

Group: 3729

Serial No.: 09/899,874

Examiner: Tai V. Nguyen

Filed: July 5, 2001

Atty. Docket No.: 2313-00

SYNTHETIC MUSCLE

METHOD OF FABRICATING A DRY ELECTRO-ACTIVE POLYMERIC

RECEIVED

PETITION TO WITHDRAW HOLDING OF ABANDONMENT UNDER 37 CFR 1.181

NOV 1 8 2005

OFFICE OF PETITIONS

To: Mail Stop: Petitions

Commissioner for Patents

PO Box 1450

Alexandria, VA 22313-1450

Dear Sirs:

The above applicant respectfully requests that the Director withdraw the holding of abandonment in the above-referenced patent application. The grounds for this Petition are fully set forth herein.

The issue fee (700.00); publication fee (\$300.00); and copy fee (\$30.00) is enclosed herewith, along with the issue fee transmittal documents.

If a petition fee is required, the applicant is a small entity, and authorizes the Commissioner to charge any fees or credit any overpayment under 37 CFR §§ 1.16 and 1.17 which may be required during the entire pendency of the application to Deposit Account No. 01-2335.

As grounds for this petition, the applicant submits the Declarations of Dennis F. Armijo and Elaine C. Bryan, and states the following:

On September 24, 2004, the applicant provided the patent and trademark office with an amendment. A notice of allowance was mailed by Tai V. Nguyen on November 10, 2004. The Notice of Allowance included a misspelled word "FRABRICATING". Throughout the prosecution of this application, the word "Fabricating" was spelled correctly on all documents prepared by the Applicant. The Notice of Allowance with the misspelled word was received by the applicant's attorney on November 15, 2004. Elaine C. Bryan, Paralegal for applicant's counsel, contacted Examiner Nguyen on November 15, 2004, and advised Examiner Nguyen of the misspelled word. Examiner Nguyen advised Ms. Bryan to file a request to correct title of the invention and also advised her not to pay the issue fee because he was going to provide a supplemental Notice of Allowance and Issue Fee due shortly thereafter. A copy of the request to correct title of invention and a postcard evidencing the receipt of the request is attached and labeled Exhibit 1. The applicant's counsel continuously checked the status of the application using PAIR. On January 12, 2005, counsel for the applicant spoke with Examiner Nguyen regarding a new notice of allowance to be mailed. March 10, 2005, Elaine Bryan contacted customer service for the Patent and Trademark Office and spoke with Preston Wallace who suggested that we send a copy of the request to correct the title by facsimile. On March 14, 2005, the copy of the request to correct the title was sent by fax to the patent and trademark office. A copy of the faxed request and faxed receipt of the fax is attached and labeled Exhibit 2. On April 4, 2005, the Attorney for the applicant received a response to request for corrected filing receipt, and a corrected filing receipt. Both documents are attached hereto and labeled Exhibits 3 & 4 respectively. On April 4, 2005, the Attorney for the applicant spoke with Examiner

Nguyen by telephone again requesting a supplemental notice of allowance. On April 7, 2005, the Attorney for the application received a second corrected filing receipt, a copy of which is attached hereto and labeled Exhibit 5. On April 12, 2005, Examiner Nguyen mailed out a supplemental action notice of allowability; included with the packet was an interview summary indicating an examiner's amendment was filed correcting the misspelled word in the title. A copy of the office communication and attachment is attached hereto and labeled Exhibit 6. On April 14, 2005, a notice of abandonment for failure to pay the issue fee was mailed by the patent and trademark office. A copy of this document is attached and labeled Exhibit 7. Upon receipt of the notice of abandonment, counsel for the applicant immediately contacted Examiner Nguyen, and was told that despite the spelling error and the advice given to not pay the issue fee, there was nothing Examiner Nguyen could do to correct the error. On April 25, 2005, counsel for the applicant contacted Examiner Tugbarg, who is Examiner's Nguyen's supervisor regarding the situation and was advised that the notice of abandonment could not with withdrawn, and that a petition under 37 CFR § 1.181 was required. The Notice of Abandonment was mailed on April 14, 2005, therefore this petition is being filed within two months as required by MPEP § 711.03. The spelling error was made exclusively by the Patent and Trademark Office.

WHEREFORE, the applicant respectfully requests that the abandonment be withdrawn, that the Patent and Trademark Office accept the applicant's issue fee, publication fee, and copy fee, and the patent issue accordingly.

Respectfully submitted.

Dated: April 28, 2005

Dennis F. Armijo Reg. No. 34,116

By:

Dennis F. Armijo, Esq. 6300 Montaño Rd., NW, Suite D Albuquerque, NM 87120

Telephone: (505) 899-0269 Facsimile: (505) 890-3431

I hereby certify that this communication is being placed in the mail via Express Mail Label No. El 179672140 US and addressed to Mail Stop: Petitions, Commissioner for Patents, PO Box 1450, Alexandria, VA 22313-1450 on this 25 day of 1801 , 2005.

Dennis F. Armijo, Reg. No. 34,11



PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Mohsen Shahinpoor

& Kwang J. Kim Group: 3729

Serial No.: 09/899,874 Examiner: Tai V. Nguyen

Filed: July 5, 2001 Atty. Docket No.: 2313-00

For: METHOD OF FABRICATING A DRY ELECTRO-ACTIVE POLYMERIC

SYSTHETIC MUSCLE

DECLARATION OF ELAINE C. BRYAN

I, Elaine C. Bryan, declare as follows:

- 1. My name is Elaine C. Bryan. I am the Paralegal to Dennis F. Armijo, Reg. No. 34,116. The following declaration is based upon my personal knowledge of the facts regarding the events that have taken place in the prosecution of the above-referenced patent application from November 15, 2004 to the present.
- 2. The notice of allowance was received in the applicant's attorney's office on November 15, 2004. The notice of allowance included a misspelled word in the title. The misspelled word was "FRABRICATING".
- 3. I, contacted Examiner Nguyen on November 15, 2004, and advised Examiner Nguyen of the misspelled word.
- 4. Examiner Nguyen advised me to file a request to correct title of the invention and also advised me not to pay the issue fee because he was going to provide a supplemental notice of allowance and issue fee, shortly thereafter. I then prepared the request to correct title of invention; discussed same with Dennis F. Armijo,

and mailed the same on November 16, 2004. A copy of the request to correct title of invention and a postcard evidencing the receipt of the request in the United States Patent and Trademark Office is attached hereto and labeled Exhibit 1.

- 5. On various occasions over the next few months, I would check the status of the application utilizing PAIR.
- 6. March 10, 2005, I contacted customer service for the Patent and Trademark Office and spoke with Preston Wallace who suggested that we send a copy of the request to correct the title by fax.
- 7. On March 14, 2005, the copy of the request to correct the title was sent by fax to the patent and trademark office. A copy of the faxed request and faxed receipt of the fax is attached hereto and labeled Exhibit 2.
- 8. On April 4, this office received a response to request corrected filing receipt and corrected filing receipt; a subsequent corrected filing receipt was received on April 7, 2005; followed by a supplemental action notice of allowability received from Examiner Nguyen on April 18, 2005; and finally the notice of abandonment received on April 25, 2005.

I declare under the penalty of perjury under the laws of the United States of America that the foregoing is true and correct.

Executed on October 25, 2005, at Albuquerque, New Mexico.

EĽAINE C. BRYAN

Paralegal to Dennis F. Armijo, Reg. 34, 116



PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Mohsen Shahinpoor

& Kwang J. Kim Group: 3729

Serial No.: 09/899,874 Examiner: Tai V. Nguyen

Filed: July 5, 2001 Atty. Docket No.: 2313-00

For: METHOD OF FABRICATING A DRY ELECTRO-ACTIVE POLYMERIC

SYNTHETIC MUSCLE

DECLARATION OF DENNIS F. ARMIJO

I, Dennis F. Armijo, declare as follows:

- 1. My name is Dennis F. Armijo, and I am an attorney admitted to the bar of the state of New Mexico. I am a registered patent attorney with the United States Patent and Trademark Office, registration number 34, 116, and am the attorney for the applicant in the above-referenced patent application.
- 2. Throughout the prosecution of this application, all documents submitted by the applicant had the word "Fabricating" spelled correctly.
- 3. This office received the notice of allowance and issue fee due in the above-referenced patent application on November 15, 2004. After review of same, we discovered there was a misspelling in the title of the invention. I instructed Elaine C. Bryan, my paralegal to call Examiner Nguyen and find out what we needed to do to correct the matter. I discussed, reviewed, and oversaw the preparation and transmission of the request to correct title of invention pursuant to the instructions of Examiner Nguyen.

- 4. I instructed Elaine C. Bryan to continue status checks on the progress of the case.
- 5. On January 12, 2005, I spoke with Examiner Nguyen regarding the new notice of allowance we expected to be mailed, and stated that we did not want to have to pay a petition fee, and were requesting the status of, and receipt of the new notice of allowance.
- 6. On April 4, 2005, I spoke with Examiner Nguyen by telephone again requesting a supplement notice of allowance. On April 12, 2005, Examiner Nguyen mailed out a supplemental action Notice of Allowability, and included with the packet was an interview summary indicating an examiner's amendment was filed correcting the misspelled word in the title.
- 7. On April 14, 2005, a notice of abandonment for failure to pay the issue fee was mailed by the patent and trademark office. Upon receipt of the notice of abandonment, counsel for the applicant immediately contacted Examiner Nguyen, and was told that despite the spelling error and the advice given to not pay the issue fee, there was nothing Examiner Nguyen could do to withdraw the notice of abandonment.
- 8. On April 25, 2005, counsel for the applicant contacted Examiner Tugbarg, who is Examiner's Nguyen's supervisor regarding the situation and was advised that the notice of abandonment could not with withdrawn, and that a petition under 37 CFR § 1.181 was required. The Notice of Abandonment was mailed on April 14, 2005, therefore this petition is being filed within two months as required by MPEP § 711.03.

I declare under penalty of perjury under the laws of the United States of American that the foregoing is true and correct.

Executed on April 28, 2005, at Albuquerque, New Mexico, USA.

Dennis F. Armijo, Reg No. 34,116

Attorney for Applicant



Stamp hereon acknowledges receipt of the following in the United States Patent and Trademark Office mailed via First Class US Mail on November 17, 2004:

REQUEST TO CORRECT TITLE OF INVENTION

and POSTCARD.

Applicants: M. Shahinpoor, Kwang J. Kimm

Serial No: 09/899,874 Filed: July 5, 2000

For: A Method of Fabricating a Dry Electro-Active

Polymeric Synthetic Muscle (#2313-00)

STAMP HERE:

NOV 1 6 2005 W

PATENT APPLICATION

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Mohsen Shahinpoor

& Kwang J. Kim

Group: 1741

Serial No.: 09/899,874

Examiner: Tai V. Nguyen

Filed: July 5, 2000

Atty. Docket No.: 2313-00

For: A METHOD OF FABRICATING A DRY ELECTRO-ACTIVE POLYMERIC

SYNTHETIC MUSCLE

REQUEST TO CORRECT TITLE OF INVENTION

TO: Commissioner for Patents

PO Box 1450

Alexandria, VA 22313-1450

This paper is in response to the Notice of Allowance and Fee(s) Due dated November 10, 2004, a copy of which is attached hereto for reference. It was noted that the title of the invention contains a typographical error and request is hereby made for correction of the title of the invention and reissuance of a new Notice of Allowance and Fee(s) Due to reflect the corrected title. The corrected title should read "A Method of Fabricating a Dry Electro-Active Polymeric Synthetic Muscle".

Respectfully submitted.

Dated: _//-17-09

Dennis F. Armijo

Reg. No. 34,116

Dennis F. Armijo, Esq. 6300 Montaño Rd., NW, Suite D Albuquerque, NM 87120

Telephone: (505) 899-0269 Facsimile: (505) 890-3431

NOV 1 6 2005

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450

ICE OF ALLOWANCE AND FEE(S) DUE

7590

11/10/2004

Dennis F. Armijo, Esq. DENNIS F. ARMIJO, P.C. 6300 MONTANO RD., NW, SUITE D Albuquerque, NM 87120

EXAMINER NGUYEN, TAI V

PAPER NUMBER

ART UNIT 3729

DATE MAILED: 11/10/2004

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/899,874	07/05/2001	Mohsen Shahinpoor	2313-00	3330

TITLE OF INVENTION: A METHOD OF FRABRICATING A DRY ELECTRO-ACTIVE POLYMERIC SYNTHETIC MUSCLE

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1370	\$300	\$1670	02/10/2005

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE REFLECTS A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE APPLIED IN THIS APPLICATION. THE PTOL-85B (OR AN EQUIVALENT) MUST BE RETURNED WITHIN THIS PERIOD EVEN IF NO FEE IS DUE OR THE APPLICATION WILL BE REGARDED AS ABANDONED.

HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

- A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.
- B. If the status above is to be removed, check box 5b on Part B -Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

- A. Pay TOTAL FEE(S) DUE shown above, or
- B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.
- II. PART B FEE(S) TRANSMITTAL should be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). Even if the fee(s) have already been paid, Part B - Fee(s) Transmittal should be completed and returned. If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted.
- III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due.

, •	OIPE	PART :	B - FEE(S)	TRANSMITTAL	A.	
	this form, together wi	أبير	or F	Alexandria, Vi [ax (703) 746-4000	UE FEE for Patents rginia 22313-1450 quired). Blocks 1 through 5 s will be mailed to the currer sss; and/or (b) indicating a se	should be completed when
				new correspondence addre	ss; and/or (b) indicating a se	parate "FEE ADDRESS" for
CURRENT CORRESPONDENCE 7. Dennis F. Armijo DENNIS F. ARMI	590 11/10/2004 b, Esq. JO, P.C. RD., NW, SUITE D	any change of address)		papers. Each addition have its own certific I hereby certify that States Postal Service	of mailing can only be used This certificate cannot be used and paper, such as an assignmate of mailing or transmission certificate of Mailing or Trait this Fee(s) Transmittal is being with sufficient postage for falial Stop ISSUE FEE addres SPTO (703) 746-4000, on the	t for any other accompanying nent or formal drawing, mus asmission ng deposited with the United irst class mail in an enveloper
						(Depositor's name)
						(Signature)
						(Date)
APPLICATION NO.	FILING DATE		FIRST NAMED	INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/899,874	07/05/2001		Mohsen Sha	ahinpoor	2313-00	3330
APPLN. TYPE nonprovisional	SMALL ENTITY NO	ISSUE F		PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE 02/10/2005
EXAM	INER	ART UN	vite I	CLASS-SUBCLASS	7	02 10/2003
NGUYE	- · <u> · · · · · · · · · ·</u>	3729		029-025350	_	e .
1. Change of correspondence CFR 1.363).	e address or indication of "Fo	e Address" (37	2. For printi (1) the namor agents Ol	ng on the patent front page, es of up to 3 registered pat R, alternatively,	ent attorneys 1	
"Fee Address" indicate PTO/SB/47; Rev 03-02 o Number is required.	ion (or "Fee Address" Indica r more recent) attached. Use	tion form of a Customer	registered at 2 registered	e of a single firm (having as ttorney or agent) and the na patent attorneys or agents. I me will be printed.	mes of up to	
3. ASSIGNEE NAME AND						
					gnee is identified below, the o	document has been filed for
(A) NAME OF ASSIGNE	Æ	(B	B) RESIDENCE	: (CITY and STATE OR CO	DUNTRY)	:
Please check the appropriate	assignee category or categor	ies (will not be pri	inted on the pate	ent): 🗖 Individual 🗖 (Corporation or other private gr	oup entity Government
4a. The following fee(s) are e	nclosed:	4b	. Payment of Fe	• •		
Issue Fee	nall entity discount permitted	••		the amount of the fee(s) is e		
Advance Order - # of	Copies	1) 		reredit card. Form PTO-203 or is hereby authorized by nt Number	8 is attached. charge the required fee(s), or (enclose an extra c	credit any overpayment, to
5. Change in Entity Status (a. Applicant claims SM	from status indicated above) [ALL ENTITY status. See 3		☐ b. Applican	t is no longer claiming SMA	ALL ENTITY status. See 37 C.	
			tion Fee (if any) I from anyone of Office.	or to re-apply any previous ther than the applicant; a reg	sly paid issue fee to the applications or the attorney or agent; or the	ation identified above.
Authorized Signature				Date		

Typed or printed name Registration No. _ This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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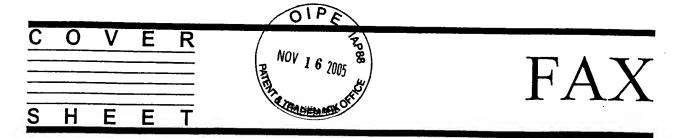
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Received Cover Page

Mar-14-05 03:33P Dennis F Armijo PC 505 690 3431 FAX Customer Service Commissisoner of Patenta (703) 746-9195 Subject: Serial No. 09/899,874 March 14, 2005 5. including this cover sheet. COMMENTS: Dear Sir/Madam: Attached is a copy of our Request to Correct Title of Invention for the above-referenced serial number. This was mailed on November 17, 2004, and received by the PTO on November 22. 2004. To date we have not received a new Notice of Allowance. We contacted Examiner Tai Van Nguyen on November 15, 2004, and he suggested that we file a Request to Correct Tide of Invention and then he would issue a new Notice of Allowance. We contacted Customer Service on March 10, 2005, and spoke with Presson Wallace who suggested that we re-fax our Request to Correct Title of Invention. We would like to request a status report on this matter. Should you y questions, you are invited to contact this office collect. Elaine C. Bryan, PLS Paralegal to Dennis F. Annijo (505) 890-3431



To:

Customer Service

Commissisoner of Patents

Fax #:

(703) 746-9195

Subject:

Serial No. 09/899,874

Date:

March 14, 2005

Pages:

5, including this cover sheet.

COMMENTS:

Dear Sir/Madam:

Attached is a copy of our Request to Correct Title of Invention for the above-referenced serial number. This was mailed on November 17, 2004, and received by the PTO on November 22, 2004. To date we have not received a new Notice of Allowance. We contacted Examiner Tai Van Nguyen on November 15, 2004, and he suggested that we file a Request to Correct Title of Invention and then he would issue a new Notice of Allowance. We contacted Customer Service on March 10, 2005, and spoke with Preston Wallace who suggested that we re-fax our Request to Correct Title of Invention. We would like to request a status report on this matter. Should you have any questions, you are invited to contact this office collect.

Elaine C. Bryan, PLS

Paralegal to Dennis F. Armijo

(505) 890-3431

From the desk of...

Elaine C. Bryan, PLS
Paralegal
DENNIS F. ARMIJO, P.C.
6300 Montaño Rd., NW, Suite D
Albuquerque, NM 87120

(505) 899-0269 Fax: (505) 890-3431



Stamp hereon acknowledges receipt of the following in the United States Patent and Trademark Office mailed via First Class US Mail on November 17, 2004:

REQUEST TO CORRECT TITLE OF INVENTION and POSTCARD.

Applicants: M. Shahinpoor, Kwang J. Kimm

Serial No: 09/899,874 Filed: July 5, 2000

For: A Method of Fabricating a Dry Electro-Active

Polymeric Synthetic Muscle (#2313-00)

STAMP HERE:

NOV 2 (200≥

FILE COPY

TRANSMITTO: DO TO BY: DATE: (1-17-64

BY: ER JATE: 11-17-6-4

PATENT APPLICATION

IN THE UNITED PATENT AND TRADEMARK OFFICE

Applicant: Mohsen Shahinpoor

& Kwang J. Kim

NOV 1 6 2005

Group: 1741

Serial No.: 09/899,874

Examiner: Tai V. Nguyen

Filed: July 5, 2000

Atty. Docket No.: 2313-00

For: A METHOD OF FABRICATING A DRY ELECTRO-ACTIVE POLYMERIC

SYNTHETIC MUSCLE

REQUEST TO CORRECT TITLE OF INVENTION

TO: Commissioner for Patents

PO Box 1450

Alexandria, VA 22313-1450

This paper is in response to the Notice of Allowance and Fee(s) Due dated November 10, 2004, a copy of which is attached hereto for reference. It was noted that the title of the invention contains a typographical error and request is hereby made for correction of the title of the invention and reissuance of a new Notice of Allowance and Fee(s) Due to reflect the corrected title. The corrected title should read "A Method of Fabricating a Dry Electro-Active Polymeric Synthetic Muscle".

Respectfully submitted.

Dated: 1/-17-04

Dennis F. Armijo

Reg. No. 34,116

Dennis F. Armijo, Esq. 6300 Montaño Rd., NW, Suite D Albuquerque, NM 87120

Telephone: (505) 899-0269 Facsimile: (505) 890-3431



UNITED STATES DEPARTMENT OF COMMERCE
United States Patent and Tradegraph Office
Address: COMMISSIONER FOR PATENTS
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NOTICE OF ALLOWANCE AND FEE(S) DUE

7590

11/10/2004

Dennis F. Armijo, Esq. DENNIS F. ARMIJO, P.C. 6300 MONTANO RD., NW, SUITE D Albuquerque, NM 87120



EXAMINER

NGUYEN, TAI V

ART UNIT

PAPER NUMBER

3729

DATE MAILED: 11/10/2004

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/899,874	07/05/2001	Mohsen Shahinpoor	2313-00	3330

TITLE OF INVENTION: A METHOD OF FRABRICATING A DRY ELECTRO-ACTIVE POLYMERIC SYNTHETIC MUSCLE

APPLN, TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1370	\$300	\$1670	02/10/2005

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE REFLECTS A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE APPLIED IN THIS APPLICATION. THE PTOL-85B (OR AN EQUIVALENT) MUST BE RETURNED WITHIN THIS PERIOD EVEN IF NO FEE IS DUE OR THE APPLICATION WILL BE REGARDED AS ABANDONED.

HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

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If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL should be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). Even if the fee(s) have already been paid, Part B - Fee(s) Transmittal should be completed and returned. If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

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CURRENT CORRESPONDENCE ADDRESS (Note: Use Block 1 for any change of address)

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11/10/2004

Dennis F. Armijo, Esq. DENNIS F. ARMIJO, P.C. 6300 MONTANO RD., NW, SUITE D Albuquerque, NM 87120



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(Depositor's name) (Signature) (Darc)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/899,874	07/05/2001	Mohsen Shahinpoor	2313-00	3330

TITLE OF INVENTION: A METHOD OF FRABRICATING A DRY ELECTRO-ACTIVE POLYMERIC SYNTHETIC MUSCLE

							
APPLN. TYPE	SMALL ENTITY	ISSUE F	EE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE	
nonprovisional	NO	\$1370) -	\$300	\$1670	02/10/2005	
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NGUYE	N, TAI V	3729		029-025350	ı		
CFR 1.363). Change of corresponded Address form PTO/SB/1 "Fee Address" indication of the PTO/SB/47; Rev 03-02 Number is required. 3. ASSIGNEE NAME AND PLEASE NOTE: Unless recordation as set forth in (A) NAME OF ASSIGN	tion (or "Fee Address" Indicator more recent) attached. Use D RESIDENCE DATA TO Be an assignee is identified be a 37 CFR 3.11. Completion of EE	Correspondence ution form of a Customer E PRINTED ON T clow, no assignee of this form is NOT	(1) the na or agents (2) the na registered 2 registered iisted, no at the PATENT data will app a substitute (1) RESIDENCO	ear on the patent. If an assigno for filing an assignment. E: (CITY and STATE OR COU	member a 2es of up to no name is 3ee is identified below, the do		
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The Director of the USPTO i	(from status indicated above) MALL ENTITY status. See 3 s requested to apply the Issue bilication Fee (if required) we rds of the United States Pater	7 CFR 1.27.	☐ b. Applica	unt is no longer claiming SMAL. y) or to re-apply any previously other than the applicant; a regist	L ENTITY status. See 37 CF	R 1.27(g)(2).	
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UNITED STATES DEPARTMENT OF COMMERCE UNITED STATES DEPARTMENT OF COMMUNICATION OF THE MAINTENANCY OF THE MA

APPLICATION NUMBER

FILING OR 371(c) DATE

FIRST NAMED APPLICANT

ATTY. DOCKET NO./TITLE

09/899,874

07/05/2001

Mohsen Shahinpoor

2313-00

Dennis F. Armijo, Esq. DENNIS F. ARMIJO, P.C. 6300 MONTANO RD., NW, SUITE D Albuquerque, NM 87120

CONFIRMATION NO. 3330 *OC000000015582768*

Date Mailed: 03/28/2005

RESPONSE TO REQUEST FOR CORRECTED FILING RECEIPT

Title of Invention

In response to your request for a corrected Filing Receipt, the Office cannot comply with your request because: The articles such as "a", "an", and "the" are not included as the first words in the title of an application. They are considered to be unnecessary to the understanding of the title. The words "new", "improved", "improvement of", "improvement in", or "improvement relating to" are not included as the first words in the title of an application because a patent application is, by nature, a new idea or improvement. See MPEP 606. The title may be truncated if it consists of more than 500 characters (letters and spaces combined). Superscript and subscript are not possible. These characters will appear on the same line. The title appears on the filing receipt in sentence case for publication in the Annual Index of Patents.

The symbol that you have requested as part of the title cannot be captured as presented. It has been captured as written text.

An amendment is needed to make this change. (See MPEP 605.02.)

The title of the invention was captured as provided by applicant on the first page of the specification.

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Initial Patent Examination Division (703) 308-1202

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FILING OR 371 APPL NO. ART UNIT FIL FEE REC'D (c) DATE ATTY.DOCKET NO DRAWINGS TOT CLMS IND CLMS 09/899,874 07/05/2001 3729 355 2313-00 22 19

Dennis F. Armijo, Esq. DENNIS F. ARMIJO, P.C. 6300 MONTANO RD., NW. SUITE D Albuquerque, NM 87120

CONFIRMATION NO. 3330 CORRECTED FILING RECEIPT *OC000000015582755*

Date Mailed: 03/28/2005

Receipt is acknowledged of this regular Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections, facsimile number 703-746-9195. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Mohsen Shahinpoor, Albuquerque, NM; Kwang J. Kim, Albuquerque, NM;

Power of Attorney:

Dennis Armijo-34116

Domestic Priority data as claimed by applicant

This appln claims benefit of 60/217,210 07/10/2000

Foreign Applications

If Required, Foreign Filing License Granted: 08/22/2001

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is US09/899,874

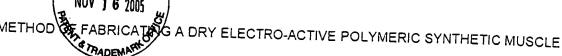
Projected Publication Date: Not Applicable

Non-Publication Request: No

Early Publication Request: No

RECENEL ATT.

Title



Preliminary Class

029

LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

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The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

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APPL NO.	FILING OR 371 (c) DATE	ART UNIT	FIL FEE REC'D	ATTY.DOCKET NO	DRAWINGS	TOT CLMS	IND CLMS	
09/899,874	07/05/2001	3729	355	2313-00	22	19		ı

Dennis F. Armijo, Esq. DENNIS F. ARMIJO, P.C. 6300 MONTANO RD., NW. SUITE D Albuquerque, NM 87120

CONFIRMATION NO. 3330 CORRECTED FILING RECEIPT *OC000000015649179* *OC000000015649179*

Date Mailed: 04/04/2005

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Applicant(s)

Mohsen Shahinpoor, Albuquerque, NM; Kwang J. Kim, Albuquerque, NM;

Power of Attorney:

Dennis Armijo-34116

Domestic Priority data as claimed by applicant

This appln claims benefit of 60/217,210 07/10/2000

Foreign Applications

If Required, Foreign Filing License Granted: 08/22/2001

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US09/899.874**

Projected Publication Date: Not Applicable

Non-Publication Request: No

Early Publication Request: No

RECEIVED APR - 7 1355

Title

METHOD OF FABRICATING A DRY ELECTRO-ACTIVE POLYMERIC SYNTHETIC MUSCLE

Preliminary Class

029

LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

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The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

NOT GRANTED

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).

TES PETENT AND TRADEMARK OFFICE UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandra, Virginia 22313-1450 www.uspto.gov APPLICATION NO. FIRST NAMED INVENTOR ATTORNEY DOCKET NO. CONFIRMATION NO. 09/899,874 07/05/2001 Mohsen Shahinpoor 2313-00 3330 7590 04/12/2005 EXAMINER Dennis F. Armijo, Esq. NGUYEN, TAI V DENNIS F. ARMIJO, P.C. 6300 MONTANO RD., NW, SUITE D ART UNIT PAPER NUMBER Albuquerque, NM 87120

3729 DATE MAILED: 04/12/2005

Please find below and/or attached an Office communication concerning this application or proceeding.

EXHIBIT 6

RECEIVED AFR 1 L 2285

	Sudandala	Application No.	Applicant(s)	
	Supplemental Heron	09/899,874	SHAHINPOOR ET AL.	
	Supplemental Actions Notice of Allowability NOV 16 2005	Examiner	Art Unit	
		Tai Van Nguyen	3729	
	The MAILING DATE of this contained appearance of appearance of the contained appearance of the contained appearance of the contained appearance of the Office of Allowable, PROSECUTION ON THE MERITS IS (herewith (or previously mailed), a Notice of Allowance (PTOL-85) of the Office or upon petition by the applicant. See 37 CFR 1.313	or other appropriate communication	nication. If not included	. THIS e initiative
	1. This communication is responsive to 9 August 2004 and 4 A			
	2. The allowed claim(s) is/are <u>1-12</u> .			
	3. A The drawings filed on 05 July 2001 are accepted by the Exa	aminer.		
5	4. Acknowledgment is made of a claim for foreign priority und a) All b) Some* c) None of the: 1. Certified copies of the priority documents have be completed as a copies of the priority documents have be copies of the certified copies of the priority documents have be copies of the	been received. Deen received in Application No Iments have been received in this note that communication to file a reply content of this application. The ed. Note the attached EXAMINER'S reason(s) why the oath or declaration be submitted. The edition of the experiment of the Office of the comment of the office of	omplying with the requirement AMENDMENT or NOTICE (on is deficient. 8) attached ce action of	nts OF
7.	each sheet. Replacement sheet(s) should be labeled as such in the I DEPOSIT OF and/or INFORMATION about the deposit attached Examiner's comment regarding REQUIREMENT.	neader according to 37 CFR 1.121(d).		
	attached Examiner's comment regarding REQUIREMENT FOR	R THE DEPOSIT OF BIOLOGICAL	st be submitted. Note the MATERIAL.	
A 44	trak			
1.	tachment(s) Notice of References Cited (PTO-892)	5. Notice of Informal Pate	nt Application (DTO 450)	
	☐ Notice of Draftperson's Patent Drawing Review (PTO-948)	6. П Interview Summary (РТ	O-413),	
3. [Information Disclosure Statements (PTO-1449 or PTO/SB/08), Paper No./Mail Date <u>7/5/01</u>	Paper No./Mail Date _ 7. Examiner's Amendmen	VComment	
4. [Examiner's Comment Regarding Requirement for Deposit	8. Examiner's Statement of	Reasons for Allowance	
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U.S.	Patent and Trademark Office			

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OIPE	Application No.	Applicant(s)
Interview Summary 70	09/899,874	SHAHINPOOR ET AL.
NOV 1 6 2005 8	Examiner	Art Unit
\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\\	Tai Van Nguyen	3729
All participants (applicant, applicant's representative, PTO	personnel):	
(1) <u>Dennis F. Armijo, Applicants</u> .	(3) <u>Tai Van Nguyen, Exam</u>	<u>iner</u> .
(2) <u>Carl J. Arbes, Primary Examiner</u> .	(4)	
Date of Interview: <u>04 April 2005</u> .		
Type: a)⊠ Telephonic b)□ Video Conference c)□ Personal [copy given to: 1)□ applicant 2) applicant's representative	Ι.
Exhibit shown or demonstration conducted: d) Yes If Yes, brief description:	e) <u> No.</u>	
Claim(s) discussed:		
Identification of prior art discussed: None.		٠.
Agreement with respect to the claims f)⊠ was reached. g)	☐ was not reached. h)☐ N/.	Α.
Substance of Interview including description of the general neached, or any other comments: <u>Applicants noticed that the typographical error the title. Therefore it was deemed necessaccompanying supplemental Notice of Allowance</u> .	Notice of Allowahillity mailed	on 11/10/2001 have been
(A fuller description, if necessary, and a copy of the amendm allowable, if available, must be attached. Also, where no cop allowable is available, a summary thereof must be attached.)	ov of the amendments that wo	ed would render the claims uld render the claims
THE FORMAL WRITTEN REPLY TO THE LAST OFFICE AC NTERVIEW. (See MPEP Section 713.04). If a reply to the la GIVEN ONE MONTH FROM THIS INTERVIEW DATE, OR TH FORM, WHICHEVER IS LATER, TO FILE A STATEMENT OF Summary of Record of Interview requirements on reverse side	ist Office action has already b HE MAILING DATE OF THIS I F THE SUBSTANCE OF THE	een filed, APPLICANT IS
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examiner Note: You must sign this form unless it is an attachment to a signed Office action.	Couls (Dele
	Examiner's signatur	e, ir required

Application/Control Number: 09/899,874

Art Unit: 3729

Page 2

EXAMINER'S AMENDMENT

An examiner's amendment to the record appears below. Should the changes and/or additions be unacceptable to applicant, an amendment may be filed as provided by 37 CFR 1.312. To ensure consideration of such an amendment, it MUST be submitted no later than the payment of the issue fee.

Authorization for this examiner's amendment was given in a telephone interview with Dennis F. Armijo on 4 April 2005.

The application has been amended as follows:

Specification:

The title has been replaced: -- A METHOD OF FABRICATING A DRY ELECTRO-ACTIVE POLYMERIC SYNTHETIC MUSCLE --.

In the claims:

Claims 13-20 have been cancelled.

Reason for allowance:

The following is an examiner's statement of reasons for allowance: the prior art does not teach all of the limitations of the claimed method of fabricating a dry electro-active polymeric synthetic including.

- a) providing a polyelectrolyte material',
- b) mixing the polyelectrolyte material with a conductive material; and
- c) affixing at least two electrodes to the mixed polyelectrolyte material and conductive material.

Art Unit: 3729

Accordingly, claims 1-12 are allowed.

Any comments considered necessary by applicant must be submitted no later than the payment of the issue fee and, to avoid processing delays, should preferably accompany the issue fee. Such submissions should be clearly labeled "Comments on Statement of Reasons for Allowance."

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Tai Van Nguyen whose telephone number is 571-272-4567. The examiner can normally be reached on M-F (7:30 A.M - 4:30 P.M).

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Peter Vo can be reached on 571-272-4690. The fax phone number for the organization where this application or proceeding is assigned is 703-872-9306.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see http://pair-direct.uspto.gov. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free).

CARL J. AREES PRIMARY EXAMINER

TN. April 4, 2005

PTC/S3/088 (08-00)
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Complet if Known **Application Number** 09/899.874 Filing Date First Named Inventor Mohsen Shahinpoor Group Art Unit Examiner Name NGUYEN VAN

(use as many sheets as necessary)

Sheet of Attorney Docket Number 2313-00

NOV 1 6 2005 TO TRADEM

Examiner Initiab	Cities No.1	OTHER PRIOR ART — NON PATENT LITERATURE DOCUMENTS Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T²
TN		Controlled Folding of Micrometer-Size Structures E. Smela, O. Inganas, I. Lundstrom, Science 268, 1735 (1995)	
		Electrochemomechanical properties from a bilayer: polypyrrole/non-conducting and flexible material - artificial muscle. T.F. Otero, J. Rodreguez, E. Angulo, C. Santamaria, J. Electrochem. 341, 369 (1992)	
		Performance and work capacity of a polypyrrole conducting poly linear actuator, A. Della Santa, D. De Rossi, A. Mazzoldi, Synthetic Metals, 90, 93 (1997)	mei
		Mechanism of electromechanical actuation in polypyrrole M.R. Gandhi, P. Murray, G.M. Spinks, G.G. Wallace, Snyth. Met. 73, 247 (1995)	
		Conductive polymer based structures for a steerable catheter A. Mazzoldi, D. DeRossi, Proceedings of SPIE-Electroactive Polymer Actuators and Devices (EAPAD) 3987, 273 (2000)	
		Giant Electrostriction and Relaxor Ferroelectric Behavior in Electron-Irradiated Poly(vinylidene flouride-trifluoroethylene) Copolymer, Q.M. Shang, V. Bharti, X. Zhoa, Science 280, 2101 (1	.99
		Ferroelectric Polymers, A.J. Lovinger, Science 220, 1115 (1983)	
		Tonic Polymer-metal composites (IPMC) as Biomimetic Sensors, Actuators & Artificial Muscles - A Review, M. Shahinpoor, Y. Ea Cohen, J.C. Simpson, J. Smith, Smart Mater. Struct. 7, 15 (1998)) [
		Mechanoelectric efforts in ionic gels, P.G. De Gennes, K. Okumu M. Shahinpoor, K.J. Kim, Europhysics Letters 50, 513 (2000)	1
		Bending of Polyelectrolyte Membrane-Platinum Composites by Electrolyte Stimuli I. Response Characteristics to Various Waveforms, K. As K. Oguro, Y. Nishimura, M. Mizuhata, H. Takenaka, Polym. J. 27, 436 (1995)	tiii sak
N		Ionic Polymeric Gels, R. Hamden, C. Kent, S. Shafer, Nature 206, 1149 (1965)	

Examiner	Cata	1.1.1.
	Date	16/4/80
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*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

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¹ Unique citation designation number. ² Applicant is to place a check mark here if English tanguage Translation is attached.

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SIPE	\ <u> </u>		(use as many sheets as necessary)	Examiner Name	TAI VAN NGUYEN		
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			A polymer gel with elect: H. Okuzaki, H. Hori, Nati	rically driven mo ure 355, 242 (199	tility, Y. Osada, 2)		
	IN		Deformation of Ionic Poly M. Matsumoto, Y. Hirose,	ymer Gels by Elec Macromolecules 2	tric Fields, M. Doi, 5, 5504 (1992)		
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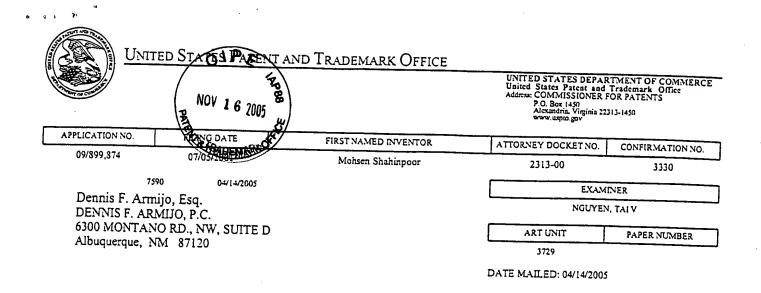
"EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Signature

Burden Hour Statement: This form is estimated to take 2.0 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U. S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231.

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Please find below and/or attached an Office communication concerning this application or proceeding.

EXHIBIT 7



UNITED STATES DEPARTMENT OF COMMERCE United States P. at and Trademark Office

Address: COMMISSIONER OF PATENTS AND TRADEMARKS Washington, D.C. 20231 APPLICATION NUMBER FILING DATE FIRST NAMED APPLICANT ATTORNEY DOCKET NO 9899874 **EXAMINER ART UNIT** PAPER NUMBER DATE MAILED: NOTICE OF ABANDONMENT This application is abandoned in view of: Applicant's failure to timely file a proper reply to the Office letter mailed on_ A reply (with Certificate of Mailing or Transmission of _ _) was received on which is after the expiration of the period for reply (including a total month(s)) which expired on A proposed reply was received on ____ , but it does not constitute a proper reply under 37 CFR 1.113 to the final rejection. (A proper reply under 37 CFR 1.113 to a final rejection consists only of: (1) a timely filed amendment which places the application in condition for allowance; (2) a timely filed Notice of Appeal (with appeal fee); or (3) a timely filed Request for Continued Examination (RCE) in compliance with 37 CFR 1.114). A reply was received on ___ ,but it does not constitute a proper reply, or a bona fide attempt at a proper reply, to the non-final rejection. See 37 CFR 1.85(a) and 1.111. (See explanation in the last box below). No reply has been received. Applicant's failure to timely pay the required issue fee and publication fee, if applicable, within the statutory period of three months from the mailing date of the Notice of Allowance (PTOL-85). The issue fee and publication fee, if applicable, was received on_ Transmission dated _____ _ (with a Certificate of Mailing or _), which is after the expiration of the statutory period for payment of the issue fee (and publication fee) set in the Notice of Allowance (PTOL-85)(or Notice of Publication Fee Due). The submitted fee of \$_____ is insufficient. A balance of \$____ The issue fee by 37 CFR 1.18 is \$_____. The publication fee, if required, by 37 CFR 1.18(d) is \$_ The issue fee and publication fee, if applicable, have not been received. Applicant's failure to timely file corrrected drawings as required by, and within the three-month period set in, the Notice of Allowability (PTOL-37). Proposed corrected drawings were received on _____ (with a Certificate of Mailing or Transmission dated), which is after the expiration of the period for reply. No corrected drawings have been received. The letter of express abandonment which is signed by the attorney or agent of record, the assignee of the entire The letter of express abandonment which is signed by an attorney or agent (acting in a representative capacity under 37 CFR 1.34(a)) upon filing of a continuing application. The decision by the Board of Patent Appeals and Interferences rendered on_ for seeking court review of the decision has expired and there are no allowed claims.

Petitions to revive under 37 CFR 1.137(a) or (b), or requests to withdraw the holding of abandonment under 37 CFR 1.181, should be promptly filed to

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The reason(s) below:

Attachment to Notice of Abandonment

For questions concerning the notice contact
Office of Patent Publication
Image Assistance Center: 888-786-0101.

Information is also available on the USPTO Internet web site: http://www.uspto.gov/web/patents/pubs/abandonnotice.html

Respond to the Notice of Abandonment by one of the following:

 Petition To Withdraw Holding of Abandonment (See MPEP 711.03(c) I and 37 CFR § 1.181) No fee required

Where an applicant contends that the application is not in fact abandoned (e.g., a reply was in fact filed), a petition under 37 CFR § 1.181(a) requesting withdrawal of the holding of abandonment is the appropriate course of action. Any petition under 37 CFR § 1.181 to withdraw the holding of abandonment not filed within 2 months of the mail date of a Notice of Abandonment may be dismissed as untimely under 37 CFR § 1.181(f). In order for a petition to be granted, the evidence must be sufficient according to 37 CFR § 1.8(b) Certificate of Mailing 37 CFR § 1.10 "Express Mail" mailing or MPEP 503 Postcard Receipt as Prima Facie Evidence. The petition should be addressed as Polony.

By mail: Mail Stop: Issue Fee, Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450 By facsimile: 703-872-9306

2. Petition To Withdraw Holding Of Abandonment Based On Failure To Receive Office Action (MPEP 711.03(c) II and 37 CFR § 1.181). No fee required

Where an applicant contends that the original Notice of Allowance and Fee(s) Due was never received, if adequately supported, the Office may grant the petition and remail the Office action. The showing required establishing non-receipt of an Office communication must include a statement from the practitioner stating that the Office communication was not received and attesting to the fact that a search of the file jacket and docket records indicates that the Office communication was not received. A copy of the docket record where the nonreceived Office would have been entered had it been received and docketed must be attached to and referenced in practitioner's statement.

Petition should be addressed to the Technology Center handling the application as follows: By mail: Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450

By facsimile: 703-872-9306

3. Petition To Revive An Abandoned Application (See MPEP 711.03(c) III)

Where there is no dispute as to whether an application is abandoned (e.g., the applicant's contentions merely involve the cause of abandonment) a petition under 37 CFR § 1.137 (a) or (b) (accompanied by the appropriate petition fee) is necessary to revive the abandoned application. The text of these rules is available on the USPTO Internet Web site. Forms for these petitions, "Petition For Revival Of An Application For Patent Abandoned Unavoidably Under 37 CFR § 1.137(a)," PTO/SB/61, and "Petition For Revival Of An Application For Patent Abandoned Unintentionally Under 37 CFR 1.137(b)," PTO/SB/64, are available in the forms section of the USPTO website: http://www.uspto.gov.

Petitions under 37 CFR § 1.137 should be addressed to the Office of Petitions as follows:

By mail: Mail Stop Petition, Commissioner For Patents, P.O. Box 1450, Alexandria, VA 22313-1450

By facsimile: 703-872-9306

Note: Abandonment takes place by operation of law for failure to reply to an Office action or timely pay the issue fee, not by operation of the mailing of a Notice of Abandonment



Stamp hereon acknowledges receipt of the following in the United States Patent and Trademark Office mailed via Express Mail Label EI179672140USon 4/28/05:

PETITION TO WITHDRAW HOLDING OF ABANDONMENT (W/ EXHIBITS & DECLARATIONS); ISSUE FEE TRANSMITTALS; FEE ADDRESS INDICATION FORM; CHECK IN THE AMOUNT OF \$1030.00; AND POSTCARD

Applicants: Mohsen Shahinpoor/Kwang J. Kim

Serial No: 09/899,874 Filing Date: July 5, 2000

For: METHOD OF FABRICATING A DRY ELECTRO-

ACTIVE POLYMERIC SYNTHETIC MUSCLE

STAMP HERE:

DENNIS F. ARMIJO, ESQ.
DENNIS F. ARMIJO, P.C.
6300 MONTAÑO RD., NW, SUITE D
ALBUQUERQUE, NM 87120
(505) 899-0269

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11/10/2004

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Dennis F. Armijo, Esq. DENNIS F. ARMIJO, P.C. 6300 MONTANO RD., NW, SUITE D Albuquerque, NM 87120 EXAMINER NGUYEN, TAI V

ART UNIT

PAPER NUMBER

3729

DATE MAILED: 11/10/2004

APPLICATION NO.				·
AFFLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
09/899,874	07/05/2001	Mohsen Shahinpoor	2313-00	2720

TITLE OF INVENTION: A METHOD OF FRABRICATING A DRY ELECTRO-ACTIVE POLYMERIC SYNTHETIC MUSCLE

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	NO	\$1370	\$300	\$1670	02/10/2005

THE APPLICATION IDENTIFIED ABOVE HAS BEEN EXAMINED AND IS ALLOWED FOR ISSUANCE AS A PATENT. PROSECUTION ON THE MERITS IS CLOSED. THIS NOTICE OF ALLOWANCE IS NOT A GRANT OF PATENT RIGHTS. THIS APPLICATION IS SUBJECT TO WITHDRAWAL FROM ISSUE AT THE INITIATIVE OF THE OFFICE OR UPON PETITION BY THE APPLICANT. SEE 37 CFR 1.313 AND MPEP 1308.

THE ISSUE FEE AND PUBLICATION FEE (IF REQUIRED) MUST BE PAID WITHIN THREE MONTHS FROM THE MAILING DATE OF THIS NOTICE OR THIS APPLICATION SHALL BE REGARDED AS ABANDONED. THIS STATUTORY PERIOD CANNOT BE EXTENDED. SEE 35 U.S.C. 151. THE ISSUE FEE DUE INDICATED ABOVE REFLECTS A CREDIT FOR ANY PREVIOUSLY PAID ISSUE FEE APPLIED IN THIS APPLICATION. THE PTOL-85B (OR AN EQUIVALENT) MUST BE RETURNED WITHIN THIS PERIOD EVEN IF NO FEE IS DUE OR THE APPLICATION WILL BE REGARDED AS ABANDONED.

HOW TO REPLY TO THIS NOTICE:

I. Review the SMALL ENTITY status shown above.

If the SMALL ENTITY is shown as YES, verify your current SMALL ENTITY status:

A. If the status is the same, pay the TOTAL FEE(S) DUE shown above.

B. If the status above is to be removed, check box 5b on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and twice the amount of the ISSUE FEE shown above, or

If the SMALL ENTITY is shown as NO:

A. Pay TOTAL FEE(S) DUE shown above, or

B. If applicant claimed SMALL ENTITY status before, or is now claiming SMALL ENTITY status, check box 5a on Part B - Fee(s) Transmittal and pay the PUBLICATION FEE (if required) and 1/2 the ISSUE FEE shown above.

II. PART B - FEE(S) TRANSMITTAL should be completed and returned to the United States Patent and Trademark Office (USPTO) with your ISSUE FEE and PUBLICATION FEE (if required). Even if the fee(s) have already been paid, Part B - Fee(s) Transmittal should be completed and returned. If you are charging the fee(s) to your deposit account, section "4b" of Part B - Fee(s) Transmittal should be completed and an extra copy of the form should be submitted.

III. All communications regarding this application must give the application number. Please direct all communications prior to issuance to Mail Stop ISSUE FEE unless advised to the contrary.

IMPORTANT REMINDER: Utility patents issuing on applications filed on or after Dec. 12, 1980 may require payment of maintenance fees. It is patentee's responsibility to ensure timely payment of maintenance fees when due

Page 1 of 3

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PART B - FEE(S) TRANSMITTAL

Complete and send this form, together with applicable fee(s), to: Mail

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Mail Stop ISSUE FEE Commissioner for Patents P.O. Box 1450 Alexandria, Virginia 22313-1450

or Fax (703) 746-4000

INSTRUCTIONS: This form should be used for transmitting the ISSUE FEE and PUBLICATION FEE (if required). Blocks 1 through 5 should be completed where appropriate. All further correspondence including the Patent, advance orders and notification of maintenance fees will be mailed to the current correspondence address as indicated unless corrected below or directed otherwise in Block 1, by (a) specifying a new correspondence address; and/or (b) indicating a separate "FEE ADDRESS" for

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		A P	A A A A A A A A A A A A A A A A A A A	Dennis	F. Armijo	(Depositor's name)
					o + les	(Signature)
					4-28-05	(Date)
APPLICATION NO.	FILING DATE		FIRST NAMED	INVENTOR	ATTORNEY DOCKET N	IO. CONFIRMATION NO.
09/899,874	07/05/2001		Mohsen Sha	hinpoor	2313-00	3330
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APPLN, TYPE	SMALL ENTITY	ISSUE F	F	DUDI IO ATTON FEE		
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3. ASSIGNEE NAME AND	RESIDENCE DATA TO	BE PRINTED ON T	HE PATENT (orint or time)		
PLEASE NOTE: Unless recordation as set forth in	an assignee is identified b 37 CFR 3.11. Completion	elow, no assignee of this form is NOT	lata will appear a substitute for	on the patent. If an assisting an assignment.	signee is identified below, the	RECEIVED
(A) NAME OF ASSIGNE	BE.			(CITY and STATE OR		
	al Robots,			erque, New		NOV 1 8 2005
Please check the appropriate	assignee category or catego	pries (will not be pri	nted on the nate	nt): 🗍 Individual 🛭	OFI	FLCE OF PETITIONS
4a. The following fee(s) are o	enclosed:	4b.	Payment of Fee	e(e):	Corporation or other private	Base SEIN ENGHARIA
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5. Change in Entity Status (:)				
	AALL ENTITY status. See		b. Applicant	is no longer claiming SM	IALL ENTITY status. See 37	CFR 1.27(g)(2).
The Director of the USPTO is NOTE: The Issue Fee and Pu interest as shown by the recon	s requested to apply the Issu blication Fee (if required) was ds of the United States Pate	ne Fee and Publication will not be accepted and Trademark C	on Fee (if any) of from anyone oth Office.	or to re-apply any previon her than the applicant; a r	usly paid issue fee to the apple egistered attorney or agent; o	lication identified above. r the assignee or other party in
Authorized Signature	Suf (1		Date	4-28-25	
Typed or printed name	Dennis F.	Armijo		Registrati	on No. 34,116	5

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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